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03-09RO
 Form 9RO
 3/15

Rollover / Trustee-to-Trustee Transfer Acceptance

Section 1 - To be completed by applicant

Last Name	First Name	Middle Initial	Suffix (Jr., III, etc.)	Social Security Number
Address (Street/P. O. Box)				Date of Birth (Enter as MM/DD/YYYY) / /
City, State, and Zip Code				Primary Telephone Number
E-mail Address				Secondary Telephone Number

I authorize the transfer of my eligible funds to the Louisiana School Employees' Retirement System for the purpose of purchasing service credit. I also authorize the release of any information by the current plan provider to facilitate this transfer.

Amount of funds to be transferred to LSERS: \$ _____

Signature of Applicant (Do not print or type)	Date Signed (MM/DD/YYYY)
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Section 2 - Financial Institution (must be completed by plan provider/custodian)

Financial Institution Name	Plan Type: Qualified Plans: <input type="checkbox"/> 401(a) <input type="checkbox"/> 401(k) <input type="checkbox"/> 403(a) Conduit IRA: <input type="checkbox"/> 408(a) <input type="checkbox"/> 408(b) Tax-Sheltered Annuity: <input type="checkbox"/> 403(b) Deferred Compensation Plan: <input type="checkbox"/> 457(b)
Address (Street/P. O. Box)	
City, State, and Zip Code	
Account Number	

I certify the funds being transferred to the Louisiana School Employees' Retirement System are eligible for rollover/transfer and originate from a plan qualified under IRC 401(a), IRC 401(k), IRC 403(a) or (b), IRC 408(a) or (b), or IRC 457(b).

Authorized Representative	Telephone Number
Authorized Representative Signature (Do not print or type)	Title
	Date Signed (MM/DD/YYYY)

Section 3 - LSERS Acceptance

LSERS is a 401(a) tax-qualified defined benefit plan and will accept funds that are eligible for rollover or transfer into a 401(a) tax-qualified defined benefit plan to purchase permissible service credit from the Plan Provider who is listed above and currently holding the funds. Once the funds are received, and should they be determined to be ineligible for rollover/transfer, they will be returned to the transferring entity.

LSERS Authorized Representative Signature	Date Signed (MM/DD/YYYY)
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Rollover / Trustee-to-Trustee Transfer Acceptance

Rollovers and trustee-to-trustee transfers will be accepted by the Louisiana School Employees' Retirement System (LSERS) to purchase service credit if they are from a qualified plan.

The funds must be in the member's name and eligible for a rollover/transfer into a 401(a) tax-qualified defined benefit plan. Eligible funds are funds from another qualified 401(a) retirement plan, a conduit IRA qualified under Section 408(a) or 408(b) Internal Revenue Code, or funds from a 401(k) plan that are not excess contributions or hardship distributions.

A conduit IRA is an IRA that contains only funds that were rolled over from another qualified retirement plan and the interest earned on those funds. A traditional IRA can be used as a conduit IRA. It cannot be commingled with any other IRA and you cannot have added money to the conduit IRA. If you have commingled other money, it no longer qualifies as a conduit IRA and is not eligible for rollover into LSERS.

LSERS will also accept funds from 403(b) accounts (tax sheltered annuities), 403(a) annuity plans and 457 accounts to purchase eligible service credit in accordance with the Economic Growth and Tax Relief Reconciliation Act (EGTRRA) of 2001.

The amount rolled over or transferred cannot exceed the total cost of the service credit to be purchased. If the funds exceed the cost of the purchase, they will be returned.

This completed form must accompany the funds. Otherwise, the funds will be returned and no service credit will be given.

Please complete Form 9RO on the reverse side.